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#61645 PATENT APPLICATION

File No: 97-38C1



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Sheppard et al.

Serial No.

10/010;050

Group Art Unit

1645

Examiner

Filed

November 9, 2001

For

: SECRETED PROTEINS ENCODED BY HUMAN CHROMOSOME

13

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a) TECH CENTER 1600/2900

Commissioner for Patents Washington, DC 20231

Sir:

I hereby certify that the attached correspondence, comprising:

- 1. Information Disclosure Statement
- 2. PTO-1449 Form
- 3. Associate Power of Attorney
- 4. Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

> Commissioner for Patents Washington, DC 20231

on March 8, 2002.



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Date Submitted

: March 8, 2002

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Sir:

In compliance with the duty imposed by 37 C.F.R. §1.56, and in accordance with C.F.R. §§1.97 et. seq., references listed on the enclosed 1449 form(s) are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested.

The present application is a continuation of U.S. Patent Application Serial No. 09/122,383, filed July 24, 1998, which claims the benefit of U.S. Patent Application Serial No. 60/053,613, filed July 24, 1997.

Pursuant to the provisions of M.P.E.P. §609 and in accordance with 37 C.F.R. §1.98(d), Applicants have not included copies of the documents listed on the 1449 forms that were previously cited by or submitted to the Patent Office in a prior application identified herein.

Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicant(s): Sheppard et al. Serial No.: 10/010,050 Filed: November 9, 2001

For: SECRETED PROTEINS ENCODED BY HUMAN CHROMOSOME 13

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 26-0290.

The Examiner is also invited to contact the Undersigned if there are any questions concerning this paper.

Respectfully submitted,

Brian J. Walsh

Registration No. 45,543

Direct Dial - (206) 442-6540

Buan J. Walsh

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TECH CENTER 1600/2900 Sheet 5 of 7 Serial No. File No. 97-38C1 U.S. DEPARTMENT OF COMMERCE **FORM PTO 1449** 10/010,050 (REV 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE Applicant(s): STATEMENT BY APPLICANT Sheppard et al. MAR 1 9 2002 COPY OF PAPERS ORIGINALLY FILED Filing Date: Group: 1645 November 9, 2001 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT DATE NAME CLASS SUBCLASS FILING DATE INITIAL NUMBER IF APPROPRIATE FOREIGN PATENT DOCUMENTS **EXAMINER** DOCUMENT DATE COUNTRY CLASS SUBCLASS FILING DATE INITIAL NUMBER IF APPROPRIATE OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.) TIGR Tentative Human Consensus, 1997. A52 TIGR Tentative Human Consensus, 1997. A53 Hawkins et al., Genomic Sequence from Human 13, 1997. Incyte Clone Results, Incyte Pharmaceuticals, date entered into database unknown 2 A54 A55 Incyte Clone Results, Incyte Pharmaceuticals, date entered into database unknown A56 Kerlavage, TIGR EST, date unknown A57 TIGR Tentative Human Consensus, date unknown A58 TIGR Tentative Human Consensus, date unknown RECEIVED TC 12002 A59 TIGR Tentative Human Consensus, date unknown A60 TIGR Tentative Human Consensus, date unknown A61 TIGR Tentative Human Consensus, date unknown A62 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. A63 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. A64 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. Examiner Date considered EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through

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U.S. DEPARTMENT OF COMMERCE File No. 97-38C1 Serial No. **FORM PTO 1449** 10/010.050 (REV 2-32) PATENT AND TRADEMARK OFFICE **KORMATION DISCLOSURE** Applicant(s): FATEMENT BY APPLICANT Sheppard et al. COPY OF PAPERS Filing Date: Group: 1645 ORIGINALLY FILED November 9, 2001 U.S. PATENT DOCUMENTS NAME EXAMINER DOCUMENT DATE CLASS SUBCLASS **FILING DATE** INITIAL NUMBER IF APPROPRIATE FOREIGN PATENT DOCUMENTS **EXAMINER** DOCUMENT DATE COUNTRY CLASS SUBCLASS FILING DATE INITIAL **NUMBER** IF APPROPRIATE OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.) Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. A65 A66 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. A67 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. A68 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. A69 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997 A C TOO C A70 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997 A71 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. A72 Incyte Clone Information Results, Incyte Pharmaceuticals, 1997. A73 LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997. A74 LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997. A75 LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997. A76 LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997. A77 LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997. A78 LifeSeq™ Library Information Results, Incyte Pharmaceuticals, 1997. Examiner Date considered EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through

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